

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1

of 2

**Complete if Known**

Application Number	Not Assigned
Filing Date	Not Assigned
First Named Inventor	Hiroshi NAKAJIMA et al
Group Art Unit	Not Assigned
Examiner Name	Not Assigned
Attorney Docket Number	NAKAJIMA=7

**NON PATENT LITERATURE DOCUMENTS / OTHER INFORMATION**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	AA	Comprehensive Dictionary of Chinese Medicines, page 2750.	Part
	AB	FURUKAWA H: "On the Alkaloids of Nelumbo nucifera Gaertn. IX., Alkaloids of Loti Embryo. (2). Structure of Neferine, a New Biscoclaurine Alkaloid" Chem. Pharm. Bull., (Tokyo), 13, 39 (1965)	Abs.
	AC	ITO A: "Lian zi xin-derived Alkaloids and their Sedative action" The 50 <sup>th</sup> Annual Meeting of the Japanese Society of Pharmacognosy, Tokyo, Abstract Papers, 2003, p. 116, 1p-34	Part
	AD	KAWASHIMA et al: Abstract of the 123 <sup>rd</sup> annual meeting of the Pharmaceutical Society of Japan No. 2, page 146, "Study on anti-osteoporotic action of lotus embryo"	
	AE	KUNITOMO et al: "Alkaloids of Nelumbo Nucifera", Faculty of Pharmaceutical Sciences, Phytochemistry, 1973, Vol. 12, pp. 699 to 701.	
	AF	LINDE et al: "St. John's wort for depression - an overview and meta-analysis of randomized clinical trials," (papers), BMJ, volume 313, 253-258, August 3, 1996	
	AG	NAMBA T: "The Encyclopedia of Wakan-Yaku (Traditional Sino-Japanese Medicines) with Color Pictures," Vol. I, pages 216 to 217.	Part
	AH	NISHIBE et al: "Alkaloids from Embryo of the Seed of Nelumbo Nucifera," Journal of Natural Products, Vol. 49, No. 3, page 547-548, May-June 1986.	Part
	AI	NISHIZAKI O: "Kenko Shokuhin Hyakka (Encyclopedia for Natural Aliment), March 25, 2003, Buren Shuppan Kabushiki Kaisha, pages 386 to 388.	
	AJ	PROTAIS et al: "Effects of Various Isoquinoline Alkaloids on In Vitro <sup>3</sup> H-Dopamine Uptake by Rat Striatal Synaptosomes, Journal of Natural Products, Vol. 58, No. 10, pp. 1475-1484, October 1995)	
	AK	SATO et al: Abstract of the 123 <sup>rd</sup> annual meeting of the Pharmaceutical Society of Japan, No. 2, page 112, Effects of antipyretic "Lian zi xin" on rat body temperature.	Part

Examiner  
SignatureDate  
Considered

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /Y.C./

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 2

of

2

**Complete if Known**

Application Number	Not Assigned
Filing Date	Not Assigned
First Named Inventor	Hiroshi NAKAJIMA et al
Group Art Unit	Not Assigned
Examiner Name	Not Assigned
Attorney Docket Number	NAKAJIMA=7

**NON PATENT LITERATURE DOCUMENTS / OTHER INFORMATION**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	AL	TOMITA et al: "On the Alkaloids of Nelumbo nucifera:, Chem. Pharm. Bull., Vol. 13, No. 1, pages 39 to 41	
	AM	WATANABE Y: "Experimental Pharmacological Investigation of Isoquinoline-type Alkaloids on Psychotropic Activity," Suzuken Memorial Foundation, Vol. 9, pages 223 to 226	Part
	AN	XIONG et al: "Effect of neferine on toxicodynamics of dichlorvos for inhibiting rabbit cholinesterase", Acta Pharmacol Sin 2003 Apr; 24 (4): 332-336	
	AO	YASUKAWA et al: "Inhibitory Effect of Cepharanthine and its Related Compounds on 12-o-Tetradecanoylphorbol-13-Acetate-Induced Inflammation and Inhibition of Tumor Promotion by Cepharanthine in Two-Stage Carcinogenesis in Mice," Nihon Univ. J. Med. 31, 229-234, 1989	

Examiner  
Signature

/Yong Chong/

Date  
Considered

09/22/2009

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /Y.C./